



Morris and Watson Australia Pty Ltd

Precious Metal Refiners, Dealers, Fabricators, Casters
Chain Manufacturers and Distributors

AML/CTF (AUSTRAC) Bullion Client Information Form (Know Your Customer Form) v9

SECTION 1 – STANDARD CLIENT/ENTITY INFORMATION			
CLIENT / ENTITY NAME:			
CLIENT TYPE (tick one):	☐ INDIVIDUAL/JOINT ☐ SOLE TRADER	☐ COMPANY ☐ PARTNERSHIP	☐ TRUST ☐ SMSF (any type)

SECTION 2 – RESPONSIBLE PERSON INFORMATION			
Please provide the details, as per below (where applicable). Please supply additional pages if required.			
Individual/Joint/Sole Trader: Person(s) engaging Morris and Watson Pty Ltd to undertake the designated service. Australian Company: Person(s) representing the company engaging Morris and Watson Pty Ltd, each individual Director (public or private companies) and Shareholders (25% or more). Trusts/SMSF: All Trustees and Beneficiaries. Partnership: Each individual member of the partnership.			
RESPONSIBLE PERSON ONE			
FULL NAME:			
DATE OF BIRTH:	/ /	PHONE:	
RESIDENTIAL ADDRESS:			
POSITION (if a company):			
EMAIL:			
RESPONSIBLE PERSON TWO			
FULL NAME:			
DATE OF BIRTH:	/ /	PHONE:	
RESIDENTIAL ADDRESS:			
POSITION (if a company):			
EMAIL:			

SECTION 3 – CLIENT BUSINESS INFORMATION			
Please provide the relevant information if you are acting as business (including sole traders).			
BUSINESS ADDRESS:			
PHONE:		MOBILE:	
EMAIL:			
WEBSITE:			
ABN:		ACN:	

SECTION 4 – POLITICALLY EXPOSED PERSONS (PEPs)			
A PEP is a person (or close family member of a person) in a senior public, government, military, judicial, or international organisational role. Ask your Morris and Watson representative if you have any questions.			
Are you considered to be a Politically Exposed Person (please tick and comment if yes)?			YES ☐ NO ☐
Comment			

SECTION 5 – ELECTRONIC FUNDS TRANSFER (EFT) INFORMATION			
Only complete this section if you are selling bullion to Morris and Watson.			
Please provide the bank account details for your payment deposit. The bank account must be in the name of the business or an individual listed in this form. Credit cards or international bank accounts are not acceptable.			
BANK:			
ACCOUNT NAME:			
BSB:		ACCOUNT NUMBER:	

SECTION 6– IDENTIFICATION REQUIREMENTS

SECTION 6A – INDIVIDUAL IDENTIFICATION REQUIREMENTS

Minimum information we need to collect: Full name, date of birth (DOB), and current Australian residential address.

Minimum information we need to verify: Either primary photo ID showing your full name and DOB, **OR** a primary non-photo ID **AND** a secondary document that together show your full name, DOB, and residential Australian address.

Depending on your entity, we may also need copies of your business, company, trust or partnership documents too.

How you can provide these documents:

- Original form (e.g. original copy presented in person);
- Certified copies (in person or electronically), or
- Fully electronically (e.g. scanned, emailed or uploaded). Copies of ID documents can be sent uncertified, if we can verify the document using our selected Document Verification Service (DVS) provider.

Only documents accepted for electronic verification via DVS:

- Current Australian or New Zealand Driver's Licence or Passport.

Digital Australian Driver's Licences must show a current timestamp, and the app must be refreshed if shown in person.

A complete screenshot or PDF of the licence must also be emailed to Morris and Watson for DVS verification.

Additional documents may be requested if needed. Examples of acceptable documents below.

Primary Photo ID (one of)	Primary Non-Photo ID (one of, plus one of Primary Secondary ID)	Primary Secondary ID (one of, plus one of Primary Non-Photo ID)
• Australian Driver's licence (current, front and back); • Australian Passport (current); • New Zealand Passport (current); • Australian Proof of age card (current).	• Australian Birth certificate; • Australian citizenship certificate; or • Medicare or Concession card (current).	• Commonwealth, State and Territory notice (<12 months old); • ATO notice (<12 months old); or • Local utilities provider notice (<3 months old).

SECTION 6B – IDENTIFICATION REQUIREMENTS FOR NON-INDIVIDUAL ENTITIES

Please provide the below information for the relevant entity you are engaging Morris and Watson Pty Ltd as.

Australian Companies	Trusts and SMSF	Partnerships
One original or certified copy of any of the below; • Full business name and details (address, ABN/ACN); • Evidence of the directors and beneficial owners (e.g. recent ASIC Company extract). All beneficiaries and directors must be verified as individuals (see section 4A).	An original or certified copy of the trust/SMSF agreement showing; • Full name of the Trust; • Full business name and details; and • Details of all beneficiaries. All Trustee's must be verified as individuals (see section 4A).	An original or certified copy of the partnership agreement showing; • Full name of Partnership; • Full business name and details; and • Details all partners. All Partner's must be verified as individuals (see section 4A).

SECTION 7 – DECLARATION

By completing and signing the declaration below and:

- I/We confirm the information provided is true and correct;
- Morris and Watson's products and services will not be used for any unlawful purposes;
- The individuals listed are authorised to act for the entity represented in this form; and
- Authorisation and consent for Morris and Watson Pty Ltd to verify the information provided, including using any independent Document Verification Services (DVS).

PERSON 1 NAME:			
SIGNATURE:		DATE:	/ /
PERSON 2 NAME:			
SIGNATURE:		DATE:	/ /

Office Use Only

☐	All ID documents for individual and entity provided and verified?	Application approved:	☐ Yes ☐ No (→ compliance officer)	
☐	All beneficiaries/directors listed?	Application Checked By:		
☐	Sanctions/PEP screening completed?	Date:	/ /	
☐	Is EDD required (tick for yes)? If yes → compliance officer	Client Number:		Cash Sales: ☐ Yes